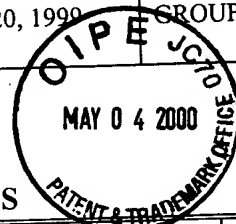


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	APPLICANT(S): Gage et al.	
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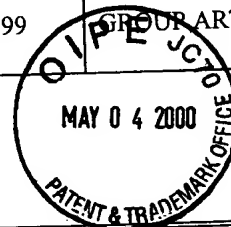
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EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
<i>[Signature]</i>	4,160,452	Jul. 10, 1979	Theeuwes	128	260	Apr. 7, 1977
<i>[Signature]</i>	4,256,108	Mar. 17, 1981	Theeuwes	128	260	May 21, 1979
<i>[Signature]</i>	4,265,874	May 5, 1981	Bonsen et al.	424	15	Apr. 25, 1980
<i>[Signature]</i>	4,394,448	Jul. 19, 1983	Szoka, Jr. et al.	435	172	Apr. 24, 1980
<i>[Signature]</i>	4,399,216	Aug. 16, 1983	Axel et al.	435	6	Feb. 25, 1980
<i>[Signature]</i>	4,405,712	Sep. 20, 1983	Vande Woude et al.	435	5	Jul. 1, 1981
<i>[Signature]</i>	4,619,794	Oct. 28, 1986	Hauser	264	4.1	Jan. 29, 1985
<i>[Signature]</i>	4,634,665	Jan. 6, 1987	Axel et al.	435	68	Aug. 11, 1983
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<i>[Signature]</i>	4,870,009	Sep. 26, 1989	Evans et al.	435	70	Dec. 15, 1983
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<i>[Signature]</i>	5,024,939	Jun. 18, 1991	Gorman	435	69.1	Sep. 25, 1987
<i>[Signature]</i>	5,252,479	Oct. 12, 1993	Srivastava	435	235.1	Nov. 8, 1991
<i>[Signature]</i>	5,399,346	Mar. 21, 1995	Anderson et al.	424	93.21	Mar. 30, 1994

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EXAM. INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)
<i>[Signature]</i>	WO 89/05345	June 15, 1989	PCT	C12N 5	00	
<i>[Signature]</i>	WO 90/06997	June 28, 1990	PCT	C12N 15	00	
<i>[Signature]</i>	WO 92/05266	Apr. 2, 1992	PCT	C12N 15	86	
<i>[Signature]</i>	WO 92/07573	May 12, 1992	PCT	A61K 35	12	
<i>[Signature]</i>	WO 92/14829	Sep. 3, 1992	PCT	C12N 15	87	
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<i>[Signature]</i>	BOOK	<i>Apoptosis, The Molecular Basis of Cell Death</i> , Current Communications In Cell & Molecular Biology, Cold Spring Harbor Laboratory Press, 1991
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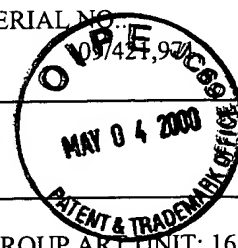
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<input checked="" type="checkbox"/>	BOOK	Conaway and Conaway, 1994, "Transcription Mechanisms and Regulation", <i>Raven Press Series on Molecular and Cellular Biology</i> , Vol. 3, Raven Press, Ltd., New York, NY
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<input checked="" type="checkbox"/>		Evans R.M., "The Steroid and Thyroid Hormone Receptor Superfamily" <i>Science</i> <b>240</b> :889-895 (1988)
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<input checked="" type="checkbox"/>		Friedmann, T., "Progress Toward Human Gene Therapy" <i>Science</i> <b>244</b> :1275-1281 (1989)
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<input checked="" type="checkbox"/>		Giguere et al., "Identification of a receptor for the morphogen retinoic acid" <i>Nature</i> <b>330</b> :624-629 (1987)
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<input checked="" type="checkbox"/>		Gossen et al., "Control of gene activity in higher eukaryotic cells by prokaryotic regulatory elements" <i>TIBS</i> <b>18</b> :471-475 (1993)
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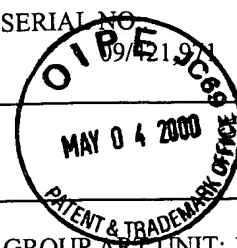
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✓		Koelle et al., "The Drosophila <i>EcR</i> Gene Encodes an Ecdysone Receptor, a New Member of the Steroid Receptor Superfamily" <i>Cell</i> 67:59-77 (1991)
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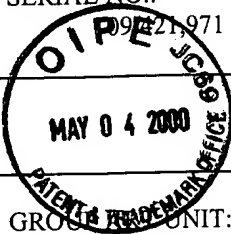
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✓		Mangelsdorf et al., "The Retinoid Receptors" <i>The Retinoids: Biology, Chemistry, and Medicine, 2nd Edition</i> <b>8</b> :319-349 (1994)
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✓		Mulligan, R.C., "The Basic Science of Gene Therapy" <i>Science</i> <b>260</b> :926-932 (1993)
✓		Nakamura et al., "DNA Sequence of the Gene for the Outer Membrane Lipoprotein of <i>E. coli</i> : an Extremely AT-Rich Promoter" <i>Cell</i> , <b>18</b> :1109-1117 (1979)
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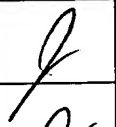
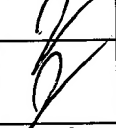
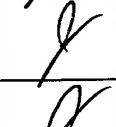
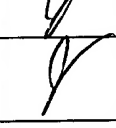
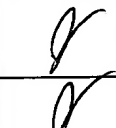
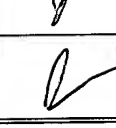



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FORM PTO 1449 US Department of Commerce Patent and Trademark Office	ATTY DOCKET NO.: SALK 2350	SERIAL NO.: 09421971
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICANT(S): Gage et al.  FILING DATE: October 20, 1999	 GROSS RECEIPT UNIT: 1615

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